

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number		10624389
Filing Date		2003-07-22
First Named Inventor	Mark C. Estes	
Art Unit	3763	
Examiner Name	Matthew F. DeSanto	
Attorney Docket Number	PF01022US	

U.S.PATENTS						<a href="#">Remove</a>
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
/MFD/	1	3631847		1972-01-04	Hobbs II	
	2	4270532		1981-06-02	Franetzki et al.	
	3	4282872		1981-08-11	Franetzki et al.	
	4	4373527		1983-02-15	Fischell	
	5	4392849		1983-07-12	Petre et al.	
	6	4395259		1983-07-26	Prestele et al.	
	7	4433072		1984-02-21	Pusineri et al.	
	8	4443218		1984-04-17	DeCant, Jr. et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10624389
Filing Date		2003-07-22
First Named Inventor	Mark C. Estes	
Art Unit		3763
Examiner Name	Matthew F. DeSanto	
Attorney Docket Number		PF01022US

/MFD/	9	4469481		1984-09-04	Kobayashi	
	10	4475901		1984-10-09	Kraegen et al.	
	11	4494950		1985-01-22	Fischell	
	12	4529401		1985-07-16	Leslie et al.	
	13	4542532		1985-09-17	McQuilkin	
	14	4550731		1985-11-05	Batina et al.	
	15	4559037		1985-12-17	Franetzki et al.	
	16	4562751		1986-01-07	Nason et al.	
	17	4671288		1987-06-09	Gough	
	18	4678408		1987-07-07	Nason et al.	
	19	4685903		1987-08-11	Cable et al.	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MFD/

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10624389
Filing Date		2003-07-22
First Named Inventor	Mark C. Estes	
Art Unit		3763
Examiner Name	Matthew F. DeSanto	
Attorney Docket Number		PF01022US

/MFD/	20	4696671		1987-09-29	Epstein et al.	
	21	4703756		1987-11-03	Gough et al.	
	22	4731051		1988-03-15	Fischell	
	23	4731726		1988-03-15	Allen, III	
	24	4781798		1988-11-01	Gough	
	25	4803625		1989-02-07	Fu et al.	
	26	4809697		1989-03-07	Causey III et al.	
	27	4826810		1989-05-02	Aoki	
	28	4871351		1989-10-03	Feingold	
	29	4898578		1990-02-06	Rubalcaba Jr.	
	30	4935105		1990-06-19	Churchouse	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MFD/

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10624389
Filing Date		2003-07-22
First Named Inventor	Mark C. Estes	
Art Unit		3763
Examiner Name	Matthew F. DeSanto	
Attorney Docket Number		PF01022US

/MFD/	31	4953552		1990-09-04	DeMarzo	
	32	5019974		1991-05-28	Beckers	
	33	5034004		1991-07-23	Crankshaw	
	34	5050612		1991-09-24	Matsumura	
	35	5078683		1992-01-07	Sancoff et al.	
	36	5080653		1992-01-14	Voss et al.	
	37	5097122		1992-03-17	Colman et al.	
	38	5100380		1992-03-31	Epstein et al.	
	39	5101814		1992-04-07	Palti	
	40	5108819		1992-04-28	Heller et al.	
	41	5113869		1992-05-19	Nappholz et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10624389
Filing Date		2003-07-22
First Named Inventor	Mark C. Estes	
Art Unit		3763
Examiner Name	Matthew F. DeSanto	
Attorney Docket Number		PF01022US

/MFD/	42	5153827		1992-10-06	Coutre et al.	
	43	5165407		1992-11-24	Wilson et al.	
	44	5211626		1993-05-18	Frank et al.	
	45	5216597		1993-06-01	Beckers	
	46	5262035		1993-11-16	Gregg et al.	
	47	5262305		1993-11-16	Heller et al.	
	48	5264104		1993-11-23	Gregg et al.	
	49	5264105		1993-11-23	Gregg et al.	
	50	5266013		1993-11-30	Aubert et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

**U.S.PATENT APPLICATION PUBLICATIONS**

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
-------------------	---------	--------------------	------------------------	------------------	---	--

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10624389
Filing Date	2003-07-22
First Named Inventor	Mark C. Estes
Art Unit	3763
Examiner Name	Matthew F. DeSanto
Attorney Docket Number	PF01022US

	1					
--	---	--	--	--	--	--

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	/Matthew DeSanto/	Date Considered	11/23/2008
--------------------	-------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10624389
Filing Date	2003-07-22
First Named Inventor	Mark C. Estes
Art Unit	3763
Examiner Name	Matthew F. DeSanto
Attorney Docket Number	PF01022US

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Vivian S. Shin/	Date (YYYY-MM-DD)	2007-06-12
Name/Print	Vivian S. Shin	Registration Number	43,919

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

~~ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MFD/~~